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| FORM PTO-1390<br>(REV 12-2004)   |  | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK<br>OFFICE       |  | ATTORNEY'S DOCKET NUMBER<br><br><b>LCS-102/PCT/US</b>                |  |
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A FILING UNDER 35 U.S.C. 371</b>  |  |  |  | U.S. APPLICATION NO. (If known, see 37 CFR 1.5.)<br><b>10/522843</b> |  |
|  |  |  |  |  |  |
| INTERNATIONAL APPLICATION NO.<br><b>PCT/US2003/28270</b>   |  | INTERNATIONAL FILING DATE<br><b>10 SEPTEMBER 2003 (10.09.03)</b> |  | PRIORITY DATE CLAIMED<br><b>11 SEPTEMBER 2002<br/>(11.09.02)</b>     |  |
| TITLE OF INVENTION<br><b>HIGH-GLOSS ROOM TEMPERATURE CURABLE WATER-BASED MOLD RELEASE AGENT</b>  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US<br><b>LU Zheng, SHANK, Gary K., HARMER, Tamara L.</b>  |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |  |  |  |  |  |
| 1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.<br>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.<br>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.<br>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).<br>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))<br>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).<br>b. <input type="checkbox"/> has been communicated by the International Bureau.<br>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).<br>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).<br>a. <input type="checkbox"/> is attached hereto.<br>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).<br>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<br>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).<br>b. <input type="checkbox"/> have been communicated by the International Bureau.<br>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.<br>d. <input checked="" type="checkbox"/> have not been made and will not be made.<br>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).<br>9. <input checked="" type="checkbox"/> An oath or declaration of the Inventor(s) (35 U.S.C. 371(c)(4)).<br>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). |  |  |  |  |  |
| Items 11 to 20 below concern document(s) or information included.  |  |  |  |  |  |
| 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.<br>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.<br>13. <input checked="" type="checkbox"/> A preliminary amendment.<br>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.<br>15. <input type="checkbox"/> A substitute specification.<br>16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter.<br>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.<br>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).<br>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).<br>20. <input checked="" type="checkbox"/> Other items or information: Return receipt postcard.  |  |  |  |  |  |

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| U.S. APPLICATION NO. (If known, see 37 CFR 1.5.)<br><b>10/522843</b> |  | INTERNATIONAL APPLICATION NO.<br><b>PCT/US2003/28270</b> |  | ATTORNEY'S DOCKET NUMBER<br><b>LCS-102/PCT/US</b> |  |
|--|--|--|--|---|--|

|   |  |  |  |                      |                        |
|---|--|--|--|----------------------|------------------------|
| 21. <input checked="" type="checkbox"/> The following fees are submitted:<br><div style="margin-left: 20px;"> <input checked="" type="checkbox"/> a) Basic national fee.....\$300.00<br/> <input checked="" type="checkbox"/> b) Examination fee.....\$200.00<br/> <input checked="" type="checkbox"/> c) Search fee.....\$500.00<br/> <b>TOTAL OF ABOVE CALCULATION.....\$1000.00</b> </div><br><input type="checkbox"/> Additional fee for specification and drawings filed in paper of 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. |  |  |  | <b>Applicant Use</b> | <b>Office Use Only</b> |
|   |  |  |  | \$300.00             |                        |
|   |  |  |  | \$200.00             |                        |
|   |  |  |  | \$500.00             |                        |
|   |  |  |  | \$1,000.00           |                        |
|   |  |  |  | \$0                  |                        |

|  |              |   |            |     |  |
|--|--------------|---|------------|-----|--|
| Total Sheets   | Extra sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE       |     |  |
| 22 - 100 =   | /50 =        |   | X \$250.00 | \$0 |  |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). |              |   |            |     |  |

|  |              |              |            |                    |  |
|--|--------------|--------------|------------|--------------------|--|
| CLAIMS                                       | NUMBER FILED | NUMBER EXTRA | RATE       |                    |  |
| Total Claims                                 | 20 - 20 =    |              | X \$50.00  | \$0                |  |
| Independent claims                           | 2 - 3 =      |              | X \$200.00 | \$0                |  |
| MULTIPLE DEPENDENT CLAIMS(S) (if applicable) |              |              | + \$360.00 | \$0                |  |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>         |              |              |            | <b>\$ 1,000.00</b> |  |

|  |  |  |  |                   |       |
|--|--|--|--|-------------------|-------|
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.   |  |  |  | \$ --             |       |
| <b>SUBTOTAL =</b>  |  |  |  | <b>\$1,000.00</b> |       |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).                      |  |  |  | +                 | \$ -- |
| <b>TOTAL NATIONAL FEE =</b>  |  |  |  | <b>\$1,000.00</b> |       |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property |  |  |  | +                 | \$ -- |
| <b>TOTAL FEES ENCLOSED =</b>   |  |  |  | <b>\$1,000.00</b> |       |
| Amount to be refunded:   |  |  |  |                   | \$    |
| Amount to be charged:  |  |  |  |                   | \$    |

a. ☐ A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. **12-2135** in the amount of \$ **1,000.00** to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to my Deposit Account No. **12-2135**. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) ) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

STEVEN C. BAUMAN  
HENKEL CORPORATION  
1001 TROUT BROOK CROSSING  
ROCKY HILL, CT 06067 USA

\_\_\_\_\_  
SIGNATURE

\_\_\_\_\_  
NAME

\_\_\_\_\_  
REGISTRATION NUMBER

*[Signature]*

**STEVEN C. BAUMAN**

**33,832**

10/522843

LCS-102/PCT/US

UT12 Rec'd PCT/PTO 28 JAN 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :  
Zheng, Lu et al. : ) Examiner: NYA  
Application No.: NYA : ) Group Art Unit: NYA  
Filed: Concurrently Herewith : ) Confirmation No.: NYA  
For: HIGH-GLOSS ROOM : )  
TEMPERATURE CURABLE : )  
WATER-BASED MOLD RELEASE : )  
AGENT : ) January 28, 2005

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**ATTORNEY/APPLICANT CHANGE OF ADDRESS**

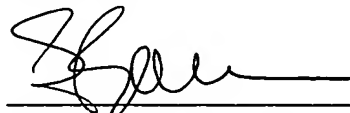
Sir:

Contrary to that which is requested in the Combined  
Declaration and Power of Attorney, please send all future  
correspondence for this application to the following address:

Steven C. Bauman  
HENKEL CORPORATION  
Legal Department  
1001 Trout Brook Crossing  
Rocky Hill, Connecticut 06067

Please direct all telephone calls and facsimile  
transmissions to the undersigned attorney at 860-571-5001 and  
860-571-5028, respectfully.

Respectfully submitted,



Steven C. Bauman  
Attorney for Applicants  
Registration No. 33,832